

02-05-01

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket Number 01-017-US

First Inventor or Application Identifier Thomas S. Drunsic

Title ADJUDICATION METHOD AND SYSTEM

Express Mail Label No. EL611549333US

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☒ Specification [Total Pages 30]
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross References to Related Applications
-Statement Regarding Fed sponsored R & D
-Reference to Microfiche Appendix
-Background of the invention
-Brief Summary of the invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure3. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]4. ☐ Oath or Declaration [Total Pages 2]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see §§ 37 CFR §1.63(d)(2)
and 1.33(b)
c. ☐ Unsigned

5. Application Data Sheet. See 37 CFR 1.76.

6. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a.
- ☐
- Computer Readable Form (CFR)
-
- b.
- ☐
- Specification Sequence Listing on:
-
- i.
- ☐
- CD-ROM or CD-R (2 copies); or
-
- ii.
- ☐
- paper
-
- c.
- ☐
- Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8.
- ☐
- Assignment Papers (cover sheet & document(s))
-
- 9.
- ☐
- 37 CFR § 3.73(b) Statement
- ☐
- Power of Attorney
-
- (when there is an assignee)
-
- 10.
- ☐
- English Translation Document (if applicable)
-
- 11.
- ☐
- Information Disclosure Statement (IDS)/PTO-1449
- ☐
- Copies of IDS
-
- Citations
-
- 12.
- ☐
- Preliminary Amendment
-
- 13.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (Should be specifically itemized)
-
- 14.
- ☐
- Small Entity
- ☐
- Statement filed in prior application,
-
- Statement(s) Status still proper and desired
-
- 15.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)
-
- 16.
- ☐
- Request and Certification under 35 U.S.C 122(b)(2)(B)(i).
-
- Applicant must attached form PTO/SB/35 or its equivalent
-
- 17.
- ☐
- Other:

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in
an Application Data Sheet under 37 CFR 1.76::☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ___/

Prior application information: Examiner ___ Group/Art Unit: ___

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b,
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

| | | | | | |
|---------|------------------------------------|-----------|--------------|----------|--------------|
| NAME | Charles H. Dougherty, Jr., Esquire | | | | |
| | Reed Smith LLP | | | | |
| ADDRESS | P.O. Box 488 | | | | |
| CITY | Pittsburgh | STATE | PA | ZIP CODE | 15230-0488 |
| COUNTRY | US | TELEPHONE | 412-288-7229 | FAX | 412-288-3300 |

| | | | |
|-------------------|----------------------------------|-----------------------------------|------------------|
| Name (Print/Type) | Charles H. Dougherty, Jr. | Registration No. (Attorney/Agent) | 42,891 |
| Signature | <i>Charles H. Dougherty, Jr.</i> | Date | February 2, 2001 |

The PTO did not receive the following
listed item(s) CHECK FOR \$710

FEE TRANSMITTAL for FY 2000

| | | | |
|----------------------|------------------|----------------------|------------------|
| Application No. | Not Yet Assigned | Filing Date: | February 2, 2001 |
| First Named Inventor | Drunic | Group Art Unit: | Not Yet Assigned |
| Examiner Name: | Not Yet Assigned | Attorney Docket No.: | 01-017-US |

METHOD OF PAYMENT (Check one)

1. ☒ **Payment Enclosed:** ☒ Check ☐ Money Order ☐ Other

2. ☒ The Director is hereby authorized to charge indicated fees to:

2a. ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any over payments to:

Deposit Account Number 18-0582

Deposit Account Name Reed Smith LLP

FEE CALCULATION (fees effective 11/10/98)

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|------|--------------|------|------------------------|--|
| Fee Code | (\$) | Fee Code | (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$)710 |

2. EXTRA CLAIM FEES


| | **No. of Claims | Extra Claims | Fee from Below | Fee Paid |
|---------------------------|-----------------|--------------|----------------|--|
| Total Claims | - 20 = | | x 18 = | |
| Independent Claims | - 3 = | | x 80 = | |
| Multiple Dependent Claims | | | x 270 = | |
| SUBTOTAL (2) (\$) | | | | |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|---|-----------------------|-----------------------|--|--|
| 103 | 203 | 9 Claims in excess of 20 | 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties). | \$ 0 |
| 102 | 202 | 40 Independent claims in excess of 3 | Other: | | | |
| 104 | 204 | 135 Multiple dependent claim | | | | |
| 109 | 209 | 40 Reissue independent claims over original patent | | | | |
| 110 | 210 | 9 Reissue claims in excess of 20 and over original patent | SUBTOTAL (3) | | | \$ |

SUBMITTED BY

Complete (if applicable)

| | | | | |
|-----------------------|---|------------------------|-------------------------|---------|
| Typed or Printed Name | Charles H. Dougherty, Jr. | Date: February 2, 2001 | Reg. Number | 42,891 |
| Signature |  | | Deposit Account User ID | 18-0582 |

Certificate of Mailing by "Express Mail"

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February 2, 2001
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

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Charles H. Dougherty, Jr.

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